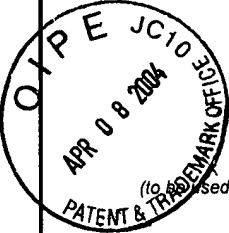


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/623,434	
	Filing Date	July 17, 2003	
	First Named Inventor	Yuli Zhou	
	Group Art Unit	3624	
	Examiner Name		
Total Number of Pages in This Submission	8	Attorney Docket Number	74577-060

ENCLOSURES (check all that apply)

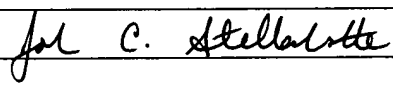
- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached

<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Documents
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>):
PTO 1449 Form |
|---|--|---|

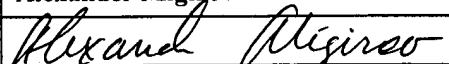
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	John C. Stellabotte, Reg. No. 47,969 PROSKAUER ROSE LLP		
Signature			
Date	April 6, 2004		

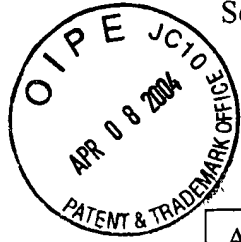
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Alexander Migirov		
Signature		Date	April 6, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Serial No.: 10/623,434

Attorney Docket No.: 74577-060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	:	Yuli ZHOU et al.
Serial No.	:	10/623,434
Filing Date	:	July 17, 2003
Entitled	:	SYSTEM AND METHOD FOR AUTOMATED TRADING

**INFORMATION
DISCLOSURE
STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56 the Applicants submit herewith a copy of the International Search Report and a PTO-1449 form listing the references cited in the International Search Report. The International Search Report issued in connection with a PCT patent application that is related to the above-identified U.S. patent application.

It is requested that the references cited in the accompanying PTO-1449 form be considered herein. This Information Disclosure Statement is filed within three months of the mailing date of March 26, 2004 of the International Search Report and before the issuance of a first Office Action on the merits, therefore, no fee is required. If any fee is due, please charge Deposit Account 16-2500.

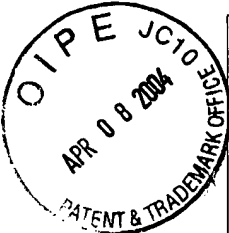
Respectfully submitted
PROSKAUER ROSE LLP
Attorneys for the Applicant(s)

Date: April 6, 2004

PROSKAUER ROSE LLP
1585 Broadway
New York, NY 10036-8299
Tel: (212) 969-3000

By *John C. Stellabotte*
John C. Stellabotte
Reg. No. 47,969

Enclosures: International Search Report, PTO-1449



Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 74577-060	Serial No. 10/623,434
	Applicant Yuli ZHOU et al.	
	Filing Date July 17, 2003	Group Art Unit 3624

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
	6	1	1	2	1	8	9	8/29/00	Rickard et al.		
	6	0	2	9	1	9	5	2/22/00	Herz		
	4	9	8	0	8	2	6	12/25/90	Wagner		
	5	2	9	7	0	3	2	3/22/94	Trojan et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

--	--

Examiner	Date Considered
----------	-----------------

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.